

Statistical Properties of SEE Rate Calculation in the Limits of Large and Small Event Counts

Ray Ladbry

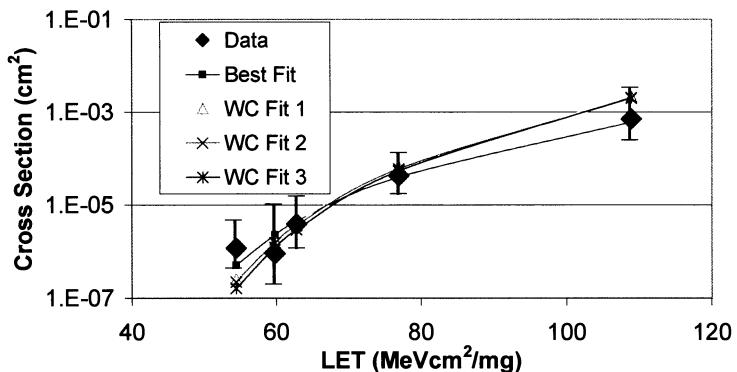
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To be presented by Ray Ladbry at the IEEE Nuclear and Space Radiation Effects Conference (NSREC), July 23-27, 2007 and to be published on <http://rahome.gsfc.nasa.gov>

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Motivation for a Statistical Approach

- Goal of SEE rate calculation is to bound the SEE rate
 - by how much?
- Estimated rate depends on the fit to the σ vs. LET curve
 - Should the fit be a “Best” fit or a conservative worst-case fit
 - Does the answer depend on error bars



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Outline

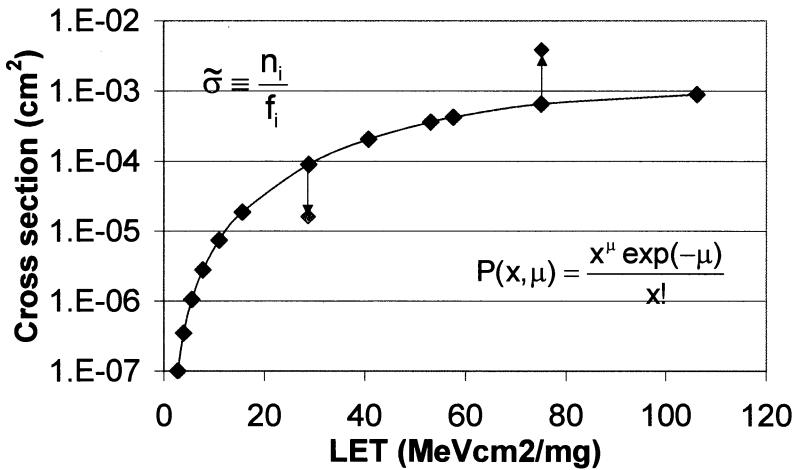
- Understanding errors on SEE cross sections
- Methodology: Maximum Likelihood and confidence Contours
- Tests with Simulated data
- Applications

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Cross sections and Errors

$$\sigma(\text{LET}_i) = \sigma_{\text{lim}} \times (1 - \exp(-(\text{LET}_i - \text{LET}_0)/w)^s)$$



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Maximum Likelihood and Confidence

- Likelihood \mathcal{L} is the product of the probabilities of all our data
 - Probabilities of our observations are driven by Poisson fluctuations, so

$$\mathcal{L} = \prod_{i=1}^n P(x_i, \mu) = F_i \times \sigma_{lim} \times \left[1 - \exp \left\{ - \left(\frac{LET - LET_0}{W} \right)^s \right\} \right]$$
 - Values of (LET_0 , σ_{lim} , w and s) that maximize \mathcal{L} give a best fit to data
 - Must be done numerically
 - Advantage of Maximum Likelihood is that the ratio of the likelihood for different parameter values gives a measure of their relative probability

$$\log(\mathcal{L}(\{LET_0^*, \sigma_{lim}^*, w^*, s^*\}) / \mathcal{L}(\{LET_0, \sigma_{lim}, w, s\}_{bf})) \geq -0.5\chi^2(P, k)$$
 - This allows construction of confidence contours around our best-fit values
- The highest SEE rate for parameters within a confidence contour will be the WC rate consistent with our data at that confidence level.
 - Use Figure of merit (FOM) to select parameter sets $FOM = C \times \frac{\sigma_{lim}}{LET_{0.25}^2}$

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Example with Simulated Data

Sample Data: Generated with $LET_0=26$, $\sigma_{lim}=3.13 \times 10^{-4}$, $w=70$ and $s=2.5$

LET _{EFF}	7.8	11.03	15.6	28.8	40.73	53.1	57.6	75.1	106.2
Cross Section	0.0E+00	0.0E+00	0.0E+00	1.0E-07	6.3E-06	2.8E-05	4.0E-05	1.1E-04	2.4E-04
Events Observed	0	0	0	1	50	100	100	100	100
Effective Fluence	1.0E+07	1.0E+07	1.0E+07	1.0E+07	8.0E+06	3.6E+06	2.5E+06	9.5E+05	4.2E+05

σ_{lim}	20	21	22	23	24	25	26	27	28
2.13E-04	-20.41	-15.29	-12.74	-12.82	-15.57	-21.10	-29.51	-41.02	-56.28
2.63E-04	-33.21	-21.23	-12.18					-13.17	-23.93
3.13E-04	-62.62	-43.77	-28.22	-16.01	-7.19				-8.19
3.63E-04	-103.68	-77.97	-55.92	-37.57	-22.96	-12.15			
4.13E-04	-153.39	-120.81	-92.26	-67.77	-47.37	-31.11	-19.05	-11.37	-8.65
4.63E-04	-209.75	-170.30	-135.26	-104.63	-78.44	-56.73	-39.56	-27.08	-19.86

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Example with Simulated Data (Cont'd)

Slice in w-s for best fit cross section and onset LET

W \ S	2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9
60	-88.4	-67.1	-49.6	-35.7	-24.8	-16.7	-11.2	-7.9	-6.7
62	-71.1	-51.7	-36.2	-24.1	-15.2	-9.0			
64	-56.5	-39.0	-25.4	-15.2	-8.2				
66	-44.2	-28.6	-16.9	-8.6					-8.1
68	-34.1	-20.3	-10.5						-12.8
70	-25.8	-13.9		0.0				-11.1	-19.1
72	-19.2	-9.2					-9.5	-17.2	-26.9
74	-14.1					-7.9	-15.2	-24.6	-36.1
76	-10.4					-13.1	-22.1	-33.2	-46.3
78	-7.9				-11.0	-19.3	-30.0	-42.8	-57.5
80				-9.2	-16.6	-26.6	-38.9	-53.3	-69.6
82				-7.9	-14.0	-23.1	-34.7	-48.6	-64.6

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Example with Simulated Data (Cont'd)

LET _{EFF}	7.8	11.03	15.6	28.8	40.73	53.1	57.6	75.1	106.2
Cross Section	0.0E+00	0.0E+00	0.0E+00	1.0E-07	6.3E-06	2.8E-05	4.0E-05	1.1E-04	2.4E-04
Events Observed	0	0	0	1	2	4	4	4	4
Effective Fluence	1.0E+07	1.0E+07	1.0E+07	1.0E+07	8.0E+06	3.6E+06	2.5E+06	9.5E+05	4.2E+05

LET ₀ \ σ _{lim}	20	21	22	23	24	25	26	27	28
1.13E-04	-6.94						-7.22	-8.89	-11.71
2.13E-04	-8.89								
3.13E-04	-15.57	-10.74	-6.85						
4.13E-04	-24.29	-17.52	-11.96	-7.55					
5.13E-04	-34.16	-25.45	-18.22	-12.40	-7.92				
6.13E-04	-44.76	-34.12	-25.22	-17.98	-12.32	-8.16			

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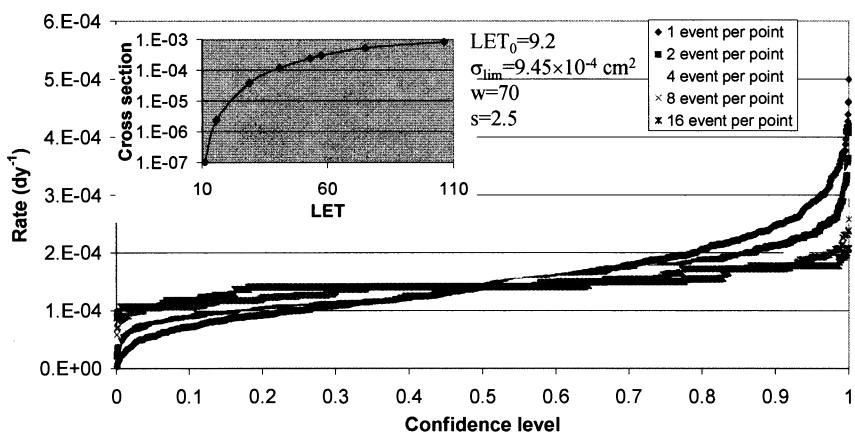
Example with Simulated Data (Cont'd)

W \ S	1.7	1.9	2.1	2.3	2.5	2.7	2.9	3.1	3.3	3.5	3.7	3.9	4.1
45	-43.2	-28.5	-19.4	-13.6	-9.9	-7.6							
50	-34.0	-21.1	-13.2	-8.4									
55	-27.0	-15.6	-8.9										
60	-21.6	-11.5											-7.4
65	-17.4	-8.5											-7.9
70	-14.1												-10.3
75	-11.5												-12.6
80	-9.5												-15.6
85	-8.0												-18.7
90	-6.8												-21.9
95													-25.1
100													-28.4
105													-31.6
110													-34.7
115													-37.8
120													-40.9
125													-43.8
130													-46.7
135													-49.6
140													-52.3
	-7.3	-10.8	-14.9	-19.4	-24.1	-29.0	-34.1	-39.2	-44.4	-49.7	-55.0		

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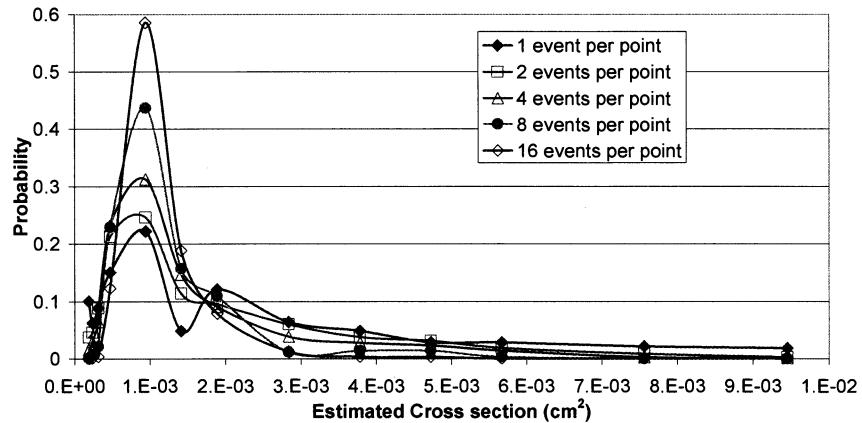
SEE Rate Confidence Contour vs. Event Count



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How Event Count affects Estimation



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Applications

- Determination of part-to-part and lot-to-lot variability
 - Poisson errors sometimes give an impression of variability
 - Variability is established at significance $1-\alpha$ if the contours for $CL=\alpha$ do not overlap
- Test planning—although we don't know a priori how a test will perform, we can simulate over a range of possible distributions to determine the worst-case dependence of rate error on event count
 - Can be used to ensure efficient allocation of test time and resources
 - What ions to use?
 - How much time for each ion?
 - For destructive errors, how many parts to sacrifice at each ion?
 - Estimate derating factors as a function of # of events and confidence level.
- Dividing the data set in post-processing
 - Often events of interest to the application emerge only in post-processing
 - Example: Some SEFI may pose system-level problems, while others do not
 - Example: Only transients larger than a minimum amplitude and longer than a certain duration may pose a threat

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Example: Ultra-long Transients for OP293

- Testing on Analog Devices OP293 revealed transients up to 1 ms long
 - Boards were already populated and there was no easy replacement
 - Circuit analysis revealed concern only for transients $>120 \mu\text{s}$ and $>2\text{V}$

LET _{EFF} (MeVcm ² /mg)	Total transients	Transients (>120 ms, >2 V)	Cross Section (cm ²)
19.5	751	1	1×10^{-7}
28	525	3	3×10^{-7}
43	679	5	5×10^{-7}
49.5	1303	0	0
87.5	477	2	2×10^{-7}

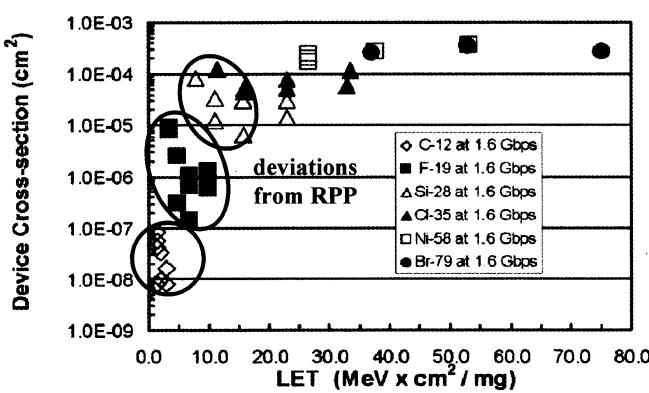
- Testing on Analog Devices OP293 revealed transients up to 1 ms long
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Possible Extensions of the Method

- This work dealt with Poisson errors, where mean σ follows a Weibull
 - Method can also be extended to model other error including systematic errors



- If we can model it, we can apply this method
 - Errors on fluence, deviations from RPP, Weibull form for σ , and so on.

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Conclusions

- Maximum Likelihood methods allow us to bound the SEE rate for a given confidence level IF we can model the errors on σ vs. LET curve
 - If event counts are high systematic errors will dominate
 - Otherwise errors are Poisson
- Allows unambiguous determination of a bounding rate for a given confidence level and data set.
- Applications include
 - Determination of part-to-part and lot-to-lot variability
 - Test Planning
 - Determination of bounding rates for marginal data (e.g. divided data sets)
- Technique can be generalized to include any error we can model
 - Other random errors (including part-to-part variations)
 - Systematic errors if we can characterize and model them

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